

FORM NO. MGT-9**Extract of Annual Return****as on the Financial Year ended on March 31, 2018****[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(2) of the Companies (Management and Administration) Rules, 2013]****I. REGISTRATION AND OTHER DETAILS:**

i. CIN	U74899DL2001NPL110356
ii. Registration Date	10.04.2001
iii. Name of the Company	National Scheduled Tribes Finance and Development Corporation (NSTFDC)
iv. Category/ Sub-Category of the Company	Company Limited by Shares Company license under Section 25 of the Companies Act, 1956 (now covered under Section 8 of the Companies Act, 2013)
v. Address of the Registered Office of the Company	NBCC Tower Plot No 15, Bhikaji Cama Place, New Delhi – 110066
vi. Whether listed Company	No
vii. Name, Address and Contact details of Registrar and Transfer Agent, if any;	Not-Applicable

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

S. No.	Name and Description of main products/ services	NIC Code of the Product/ Service	% to total turnover of the Company
1.	Extending concessional financial assistance to Scheduled Tribes	649	100%
2.	—	—	—
3.	—	—	—

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES-

S. No.	Name and Address of the Company	CIN/ GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	—	—	—	—	—
2.	—	—	—	—	—

NSTFDC is not having any Holding, Subsidiary or Fellow Subsidiary or Associate Company.

IV. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the year
	Demat	Physical	Total	%of Total Shares	Demat	Physical	Total	%of Total Shares	
A. Promoters									
(1) Indian									
(a) Individual/ HUF	—	—	—	—	—	—	—	—	—
(b) Central Govt.	—	5591045	5591045	100%	—	6189045	6189045	100%	10.70%*
(c) State Govt.(s)	—	—	—	—	—	—	—	—	—
(d) Bodies Corp.	—	—	—	—	—	—	—	—	—
(e) Banks/FI	—	—	—	—	—	—	—	—	—
(f) Any other...	—	1	1	—	—	1	1	—	—
(One share is in the name of Shri Roopak Chaudhuri, Deputy Secretary, Ministry of Tribal Affairs)									
Sub-total (A)(1):	—	5591046	5591046	100%	—	6189046	6189046	100%	10.70%
2. Foreign									
(a) NRIs Individual	—	—	—	—	—	—	—	—	—
(b) Other Individuals	—	—	—	—	—	—	—	—	—
(c) Bodies Corp.	—	—	—	—	—	—	—	—	—
(d) Banks/ FI	—	—	—	—	—	—	—	—	—
(e) Any Other...	—	—	—	—	—	—	—	—	—
Sub-total (A)(2):	—	—	—	—	—	—	—	—	—
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	—	5591046	5591046	100%	—	6189046	6189046	100%	10.70%
B. Public Shareholding									
1. Institutions									
(a) Mutual Funds	—	—	—	—	—	—	—	—	—
(b) Banks/FI	—	—	—	—	—	—	—	—	—
(c) Central Govt.	—	—	—	—	—	—	—	—	—
(d) State Govt.(s)	—	—	—	—	—	—	—	—	—
(e) Venture Capital Funds	—	—	—	—	—	—	—	—	—
(f) Insurance Companies	—	—	—	—	—	—	—	—	—
(g) FIs	—	—	—	—	—	—	—	—	—
(h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
(i) Others (specify)	—	—	—	—	—	—	—	—	—
Sub-total (B)(1):	—	—	—	—	—	—	—	—	—
2. Non-Institutions									
(a) Bodies Corp.	—	—	—	—	—	—	—	—	—
i) Indian	—	—	—	—	—	—	—	—	—
ii) Overseas	—	—	—	—	—	—	—	—	—
(b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital up to ₹ 1 lakh	—	—	—	—	—	—	—	—	—
ii) Individual Shareholders holding nominal share capital in excess of ₹ 1 lakh	—	—	—	—	—	—	—	—	—
(c) Others (specify)	—	—	—	—	—	—	—	—	—
Sub-total (B)(2):	—	—	—	—	—	—	—	—	—
Total Public Shareholding (B)=(B)(1)+(B)(2)	—	—	—	—	—	—	—	—	—
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
Grand Total (A+B+C)		5591046	5591046	100%	—	6189046	6189046	100%	10.70%*

(ii) Shareholding of Promoters

Sl.No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged/ encumbered to total shares	% of change in shareholding during the year
1.	President of India	5591045	100%	—	6189045	100%	—	10.70%*
2.	Mr. Roopak Chaudhuri, Dy. Secretary, Ministry of Tribal Affairs	1	—	—	1	—	—	—

* 598000 shares, including 100000 share towards receipt of money in the year 2016-17, have been issued in the name of President of India resulting 10.70% issuance of additional shares.

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total Shares of the Company
1.	At the beginning of the year	5591046	100%	5591046	100%
2.	1. Allotment made on – 29.05.2017	100000	1.79%	5691046	100%
	2. Allotment made on – 24.08.2017	300000	5.27%	5991046	100%
	3. Allotment made on – 07.03.2018	198000	3.30%	6189046	100%
3.	At the End of the year	6189046	100%	6189046	100%

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total Shares of the Company
1.	At the beginning of the year	—	—	—	—
2.	Date wise Increase/ Decrease in Promoters Shareholding during the year, specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.)	—	—	—	—
3.	At the end of the year (or on the date of separation, if separated during the year)	—	—	—	—

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/ accrued but not due for payment

Indebtedness at the beginning of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposit	Total Indebtedness
(i) Principal Amount	—	—	—	—
(ii) Interest due but not paid	—	—	—	—
(iii) Interest accrued but not due	—	—	—	—
Total (i + ii + iii)	—	—	—	—
Change in Indebtedness during the financial year				
• Addition	—	—	—	—
• Reduction	—	—	—	—
Net Change	—	—	—	—
Indebtedness at the end of the financial year				
(i) Principal Amount	—	—	—	—
(ii) Interest due but not paid	—	—	—	—
(iii) Interest accrued but not due	—	—	—	—
Total (i + ii + iii)	—	—	—	—

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole Time Directors and/ or Manager:

Sl. No.	Particulars of Remuneration	CMD	Whole Time Director	Manager	Total Amount
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income tax Act, 1961;	22,54,544.00	—	—	22,54,544.00
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary under Section 17(3) of the Income tax Act, 1961	—	—	—	—
2.	Stock Option	—	—	—	—
3.	Sweat Equity	—	—	—	—
4.	Commission - as % of profit - others, specify...	— —	— —	— —	— —
5.	Others, specify	—	—	—	—
	Total (A)	22,54,544.00	—	—	22,54,544.00
	Ceiling as per the Act,	—	—	—	—

B. Remuneration to other Directors:

Sl. No.	Particulars of Remuneration	CMD	Whole Time Director	Manager	Total Amount
1.	Independent Directors • Fee for attending Board/ Committee meetings • Commission • Others, specify	—	—	—	—
	Total (1)	—	—	—	—
2.	Other Non—Executive Directors • Fee for attending Board/ Committee meetings • Commission • Others, specify	—	—	—	—
	Total (2)	—	—	—	—
	Total = (1+2)	—	—	—	—
	Total Managerial Remuneration	—	—	—	—
	Overall Ceiling as per Act	—	—	—	—

C. Remuneration to Key Managerial Personnel other than MD/Manager/ WTD:

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	GM (Finance) & Company Secretary*	Dy. Company Secretary^	Total
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income tax Act, 1961;	—	23,68,531.00	6,78,655.00	30,47,186.00
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary under Section 17(3) of the Income tax Act, 1961	—	—	—	—
2.	Stock Option	—	—	—	—
3.	Sweat Equity	—	—	—	—
4.	Commission - as % of profit - Others, specify...	— —	— —	— —	— —
5.	Others, specify	—	—	—	—
	Total	—	23,68,531.00	6,78,655.00	30,47,186.00

* In view of superannuation of GM (Finance) & Company Secretary, the detail given is upto 31.07.2017.

^ The details of Dy. Company Secretary is given for a period commencing from 01.08.2017 to 31.03.2018.

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ punishment/ compounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
B. DIRECTORS					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
C. OTHER OFFICERS IN DEFAULT					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—